

U.S. PRO  
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In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENTApplicant:    Brown et al. \_\_\_\_\_

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920010520US1

| Reference Designation |                 |          | U. S. PATENT DOCUMENTS  |       |                            |                            |
|-----------------------|-----------------|----------|---|-------|----------------------------|----------------------------|
| Examiner Initial      | Document Number | Date     | Name  | Class | Sub-class                  | Filing Date if Appropriate |
| <u>T.Z.</u><br>AA     | US 5,463,775    | 10/31/95 | System and Method for Performing Monitoring of Resources in a Data Processing System in Real Time | 395   | 184.00<br>999999<br>999999 | 06/20/94                   |
| <u>T.Z.</u><br>AB     | US 5,572,672    | 11/05/96 | Method and Apparatus for Monitoring Data processing System Resources in Real-Time                 | 395   | 184.00<br>999999<br>999999 | 07/12/95                   |
| <u>T.Z.</u><br>AC     | US 5,651,107    | 07/22/97 | Method and Apparatus for Presenting Information in a Display System Using Transparent Windows     | 395   | 344                        | 08/16/94                   |
| <u>T.Z.</u><br>AD     | US 5,764,229    | 06/09/98 | Method of and System for Updating Dynamic Translucent Windows with Buffers                        | 345   | 345                        | 05/09/96                   |
| <u>T.Z.</u><br>AE     | US 5,805,163    | 09/08/98 | Darkened Transparent Window Overlapping an Opaque Window  | 345   | 345                        | 04/22/96                   |
| <u>T.Z.</u><br>AF     | US 5,889,530    | 03/30/99 | Method and Apparatus for Dynamically Presenting Graphical Representation of Instrumentation       | 345   | 440                        | 03/14/96                   |
| <u>T.Z.</u><br>AG     | US 5,999,191    | 12/07/99 | Method and Apparatus for Presenting Information in a Display System Using Transparent Windows     | 345   | 435                        | 09/25/96                   |
| <u>T.Z.</u><br>AH     | US 6,002,397    | 12/14/99 | Window Hatches in Graphical User Interface  | 345   | 340                        | 09/30/97                   |
| <u>T.Z.</u><br>AI     | US 6,049,798    | 04/11/00 | Real Time Internal Resource Monitor for Data Processing System                                    | 707   | 10                         | 06/14/94                   |

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## FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Sub-class | Filing Date if Appropriate |
|------------------|-----------------|------|---------|-------|-----------|----------------------------|
| BA               | EP 0684542 A1   |      | Europe  | G06F  | 3/033     | 05/10/95                   |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| Examiner Initial | Author                            | Title   | Date                          | Pertinent Pages |
|------------------|-----------------------------------|---|-------------------------------|-----------------|
| TZ<br>CA         | IBM Technical Disclosure Bulletin | "Pop-up Window Shadow"  | Vol. 34, No. 6, November 1991 | pp. 42-43       |
| TZ<br>CB         | IBM Technical Disclosure Bulletin | "Translucent Window Attribute"  | vol. 36, no. 06A, June 1993   | pp. 135-136     |
| TZ<br>CC         | IBM Technical Disclosure Bulletin | "Translucent Windows: Dragging an Image without Obscuring the Desktop:",                    | vol 37, No. 10, October 1994  | p. 15           |
| TZ<br>CD         | IBM Research Disclosure 411119    | "Reducing Desktop Clutter in GUI"   | July 1998                     | p. 995          |
| TZ<br>CE         | IBM Research Disclosure 431180    | "Progressively animated graphical overlays"   | March 2000                    | p. 592          |
| TZ<br>CF         | IBM DOCKET AUS920010513US1        | DISPLAYING SPECIFIED RESOURCE USAGE   |                               |                 |
| TZ<br>CG         | IBM DOCKET AUS920010514US1        | DISPLAYING TRANSPARENT RESOURCE AIDS  |                               |                 |
| TZ<br>CH         | IBM DOCKET AUS920010515US1        | SELECTIVELY ADJUSTING TRANSPARENCY OF WINDOWS WITHIN A USER INTERFACE                       |                               |                 |
| TZ<br>CI         | IBM DOCKET AUS920010516US1        | CHANGING THE ALPHA LEVELS OF AN APPLICATION WINDOW TO INDICATE A STATUS OF A COMPUTING TASK |                               |                 |
| TZ<br>CJ         | IBM DOCKET AUS920010517US1        | ADJUSTING THE TINT OF A TRANSLUCENT WINDOW TO CONVEY STATUS                                 |                               |                 |
| TZ<br>CK         | IBM DOCKET AUS920010518US1        | ADJUSTING TRANSPARENCY OF WINDOWS TO REFLECT RECENT USE                                     |                               |                 |
| TZ<br>CL         | IBM DOCKET AUS920010519US1        | DISPLAYING TRANSPARENCY CHARACTERISTIC AIDS   |                               |                 |

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IBM DOCKET NUMBER: AUS920010520US1

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|-------------------|-------------------------------|---|--|
| <u>T.Z.</u><br>CM | IBM DOCKET<br>AUS920010521US1 | AUTOMATIC WINDOW<br>REPRESENTATION ADJUSTMENT   |  |
| <u>T.Z.</u><br>CN | IBM DOCKET<br>AUS920010522US1 | VARYING HEIGHTS OF APPLICATION<br>IMAGES TO CONVEY APPLICATION<br>STATUS                          |  |
| <u>T.Z.</u><br>CO | IBM DOCKET<br>AUS920010524US1 | SELECTIVELY ADJUSTING THE<br>TRANSLUCENCY OF WINDOWS IN<br>RESPONSE TO A SCROLL WHEEL<br>ROTATION |  |
| <u>T.Z.</u><br>CP | IBM DOCKET<br>AUS920010525US1 | SELECTIVELY ADJUSTING THE<br>ORDER OF WINDOWS IN RESPONSE<br>TO A SCROLL WHEEL ROTATION           |  |

Examiner: Jing Zhen Date Considered: 8/2/2004

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.